

10/562330

IAP17 Rec'd PCT/PTO 23 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No. : U.S. Filing of International Application PCT/EP2004/006466
Applicants : SCHILLING, Andreas; TOMPKIN, Wayne Robert; STAUB, René
Confirm. No. : Unassigned
Filed : Herewith
Title : OPTICAL SAFETY ELEMENT AND SYSTEM FOR VISUALIZING HIDDEN INFORMATION
TC/A.U. : N/A
Examiner : N/A
Docket No. : 1093-145 PCT/US
Dated : December 23, 2005

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: 12/23/05 Label No. EV 000904709 US
I hereby certify that on the date indicated above, I
deposited this paper or fee with the U.S. Postal Service
and that it was addressed for delivery to the Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
by "EXPRESS MAIL Post Office to Addressee" Service.



Tricia Tucci

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

UNITED STATES PATENTS

<u>PATENTEE</u>	<u>PATENT NO.</u>	<u>PATENT DATE</u>
Huang	5,999,280*	December 7, 1999
Taylor, et al.	6,062,604*	May 16, 2000
Staub, et al.	6,324,004 B1*	November 27, 2001
Dovgodko, et al.	6,351,537 B1*	February 26, 2002

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JP/

10/562330

IAP17 Rec'd PCT/PTO 23 DEC 2005
FOREIGN PATENT DOCUMENTS

<u>COUNTRY</u>	<u>PUBLICATION NO.</u>	<u>PUBLICATION DATE</u>
Germany	DE 100 44 465 A1*	March 21, 2002
WIPO	WO 02/100653 A1*	December 19, 2002
Europe	EP 0 520 363 A1*	December 30, 1992
Europe	EP 1 102 208 A2*	May 23, 2001
WIPO	WO 99/65699*	December 23, 1999
Germany	DE 195 16 741 A1	November 7, 1996
WIPO	WO 03/009225 A2	January 30, 2003
WIPO	WO 01/80175 A1	October 25, 2001
Europe	EP 0 992 020 B1	April 12, 2000

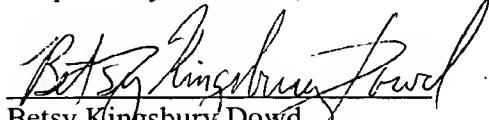
A separate listing of each of the references set forth above has also been provided on the attached Form PTO-1449. References cited in the International Search Report (ISR), which accompanies this submission, are indicated by an asterisk. A copy of each foreign patent reference is enclosed herewith. A corresponding English abstract of any non-English foreign patent document has also been provided to facilitate reference thereto.

Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. §1.56 have been fulfilled, having submitted all known references in compliance with 37 C.F.R. § 1.97 and § 1.98. Applicants believe that no fee is due at this time. If it is determined that a fee is due, please charge our Deposit Account No. 08-2461.

10/562330
IAP17 Rec'd PCT/PTO 23 DEC 2005

In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,


Betsy Kingsbury Dowd
Registration No.: 52,830
Attorney for Applicants

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791-4407
(516) 822-3550
BKD:tt

214707_1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1093-145 PCT/US	SERIAL NO. 104562330 Unassigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Schilling, et al.	CONFIRMATION NO. Unassigned
(Use several sheets if necessary)		FILING DATE Herewith	GROUP Unassigned

U.S. PATENT PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		5,999,280*	12/7/99	Huang			
		6,062,604*	5/16/00	Taylor, et al.			
		6,324,004 B1*	11/27/01	Staub, et al.			
		6,351,537 B1*	2/26/02	Dovgodko, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		DE 100 44 465 A1*	3/21/02	Germany				
		WO 02/100653 A1*	12/19/02	WIPO				
		EP 0 520 363 A1*	12/30/92	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

--	--	--	--

/Joshua Pritchett/

12/30/2009

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JP/

IAP17 Rec'd PCT/PTO 23 DEC 2005

Sheet 2 of 2

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1093-145 PCT/US	Serial No. 107562330 Unassigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Schilling, et al.	CONFIRMATION NO. Unassigned
(Use several sheets if necessary)		FILING DATE Herewith	GROUP Unassigned

U.S. PATENT PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		EP 1 102 208 A2*	5/23/01	Europe				
		WO 99/65699*	12/23/99	WIPO				
		DE 195 16 741 A1	7/7/96	Germany				
		WO 03/009225 A2	1/30/03	WIPO				
		WO 01/80175 A1	10/25/01	WIPO				
		EP 0 992 020 B1	4/12/00	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

--	--	--	--	--

/Joshua Pritchett/

12/30/2009

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JP/